

## Research Foundation for SUNY / Buffalo State Export Controls Survey for Funding Applications

Please respond to the following questions and email to [bergjm@buffalostate.edu](mailto:bergjm@buffalostate.edu) prior to submission.

Legal Name: \_\_\_\_\_ Email: \_\_\_\_\_

Department: \_\_\_\_\_ Phone Number: \_\_\_\_\_

The United States federal government has enacted laws that regulate the distribution of technology, information and services to foreign persons (wherever located) and foreign countries for reasons of national security and foreign policy. Even research that meets the criteria of “fundamental research” may require an export controls license for related activities. The individual and/or the university may be penalized (fines/sanctions) for export compliance violations. In order to facilitate compliance with federal regulations regarding the control of exports, the Research Foundation for SUNY/Buffalo State requires that all employees who are seeking funding for sponsored programs, that includes a foreign travel component, must complete this form.

1. To which city(ies) / country(ies) will you travel? \_\_\_\_\_
2. If you are collaborating with persons in a foreign country, please provide the name(s) and affiliations (institution or organization) with whom you’ll be working: \_\_\_\_\_  
\_\_\_\_\_
3. Does the project involve export restricted science or engineering areas (including but not limited to military / defense items or information; weapons; satellites or other space technology; new drugs; high performance computers or encryption technology)?  
 Yes       No
4. Does this project involve the use of equipment, software, services or technical data (information) that is on the United States Munitions List (USML) under the International Traffic in Arms Regulations (ITAR)?  
 Yes       No
5. Does the project provide any service or information related to an ITAR defense article to a non-US person or are you providing any data or information to a foreign national or foreign entity for a military or defense application?  
 Yes       No
6. Do you anticipate receiving any Proprietary Information from the sponsor and/or a third party?  
 Yes       No
  - a. If yes, Has a Non-Disclosure Agreement been signed?  
 Yes       No
7. Do you or your study team, as part of this project, anticipate transferring or providing access abroad by any means, to any software, laboratory equipment, biologics, materials, or any other commodity such as laptop, cell phone, camera, to any individual (e.g. subcontractor, consultant, colleague or sponsor)?  
 Yes       No



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8. Does this project provide data, services or conduct any transaction with or in an embargoed country as defined by the Office of Foreign Asset Controls such as North Korea, Iran, Cuba, Syria or Sudan?
- Yes       No
9. Results of Fundamental Research are not controlled because they are intended to be published and shared widely. Is there any reason that you do not intend to publish or share your results?
- Yes       No
10. Do you anticipate that this project will involve restrictions to the dissemination of results, involvement of foreign nationals or any other export controls related concerns?
- Yes       No
11. Does this project involve any non-US persons or organizations, including students if known? If yes, please list the person(s) name and affiliated organization.
- Yes       No